

**PATENT APPLICATION**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Docket No: Q95482

Takayuki KUMAKIRI, et al.

Appln. No.: 10/583,141

Group Art Unit: Not Yet Assigned

Confirmation No.: Not Yet Assigned

Examiner: Not Yet Assigned

Filed: June 16, 2006

For: CUTTING INSERT HOLDER AND CUTTING TOOL

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

**MAIL STOP AMENDMENT**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a Communication from a foreign patent office in a counterpart application citing such documents (International Search Report for PCT/JP2004/018881 dated March 1, 2005), together with an English-language version (if not already included) of at least that portion of the Communication indicating the degree of relevance found by the foreign patent office. Additionally, Applicants submit English language Abstracts for JP 62-92147, JP 62-130113, JP 5-70823, JP 7-24619, JP 7-290305, JP 9-314036, JP 10-330587, JP 11-815, JP 11-48366, JP 2000-94211 and JP 2001-239416 and JP 2003-170311.

Additionally, in compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant submits machine translations for JP 62-92147, JP 5-70823, JP 7-24619, JP 7-290305, JP 2000-94211 and JP 2001-239416.

Also, U.S. Patent No. 6,475,065 B1 and WO 02/20205 A2 correspond to JP 2004-508208.

JP 62-92147, JP 62-130113, JP 9-314036, JP 10-330587, JP 11-815, JP 11-48366 and JP 2003-170311 were cited in an Office Action in corresponding Japanese Patent Application No. 2003-419536.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not

INFORMATION DISCLOSURE STATEMENT  
U.S. Appln. No.: 10/583,141

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waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

Respectfully submitted,



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WASHINGTON OFFICE

**23373**

CUSTOMER NUMBER

Date: September 21, 2006

Substitute for Form 1449 A & B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<i>Complete if Known</i>	
				Application Number	10/583,141
				Confirmation Number	Not Yet Assigned
				Filing Date	June 16, 2006
				First Named Inventor	Takayuki KUMAKIRI
				Art Unit	Not Yet Assigned
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	Q95482

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US 6,475,065	B1	11-05-2002	HOLMES
		US 6,579,042	B1	06-17-2003	SHIRAIWA

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
		JP	62-92147		06-12-1987	mitsubishi metal corporation	Translation
		JP	62-130113		06-12-1987	mitsubishi metal corporation	Abstract
		JP	5-70823		03-23-1993	ngk insulators ltd ngk adrech kk	Abstract and Machine Translation
		JP	7-24619		01-27-1995	hitachi constr mach co ltd hitachi tool eng ltd	Abstract and Machine Translation
		JP	7-290305		11-07-1995	kobe steel ltd	Abstract and Machine Translation
		JP	9-314036		12-09-1997	shin kobe electric machinery	Abstract
		JP	10-330587		12-15-1998	bando chemical ind	Abstract
		JP	11-815		01-06-1999	toshiba tungaloy co ltd toshiba machine co ltd	Abstract
		JP	11-48366		02-23-1999	tokai rubber ind ltd	Abstract
		JP	2000-94211		04-04-2000	honda motor co ltd	Abstract and Machine Translation
		JP	2001-239416		09-04-2001	ngk spark plug co ltd	Abstract and Machine Translation
		WO	02/20205	A2	03-14-2002	3m innovative properties company	
		JP	2003-170311		06-17-2003	sumitomo electric industries	Abstract
		JP	2004-508208		03-18-2004	3m innovative properties company	Abstract of corresponding U.S. Patent No. 6,475,065

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to indicate here if English language Translation is attached.